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RECORDATION NO. \_\_\_\_\_ Filed &amp; Recorded

NOV 21 1972 -9 00 AM

INTERSTATE COMMERCE COMMISSION

NEW YORK STATE  
Uniform Commercial Code - FINANCING STATEMENT - Form UCC-1

JULIUS BLUMBERG, INC. 80 EXCHANGE PLACE, N.Y.C. 10004

IMPORTANT - Read instructions on back before filling out form

This FINANCING STATEMENT is presented to a Filing Officer  
for filing pursuant to the Uniform Commercial Code.No. of Additional  
Sheets Presented:Maturity Date  
3. (optional):

1. Debtor(s) (Last Name First) and Address(es):

Bath & Hammondsport  
Railroad Company  
Hammondsport, New York  
14840

2. Secured Party(ies): Name(s) and Address(es):

Small Business Administration  
1051 South Main Street  
Elmira, New York 149044. For Filing Officer: Date, Time,  
No. Filing Office

5. This Financing Statement covers the following types (or items) of property:

All machinery and equipment, furniture and fixtures,  
now owned, and hereafter acquired, including but not  
limited to two (2) Alco Diesel Switching Locomotives,  
serial numbers 77077 and 78139.

6. Assignee(s) of Secured Party and Address(es)

☒ Proceeds -☐ Products of the Collateral are also covered.

8. Describe Real Estate Here:

9. Name(s) of  
Record  
Owner(s):

No. &amp; Street

Town or City

County

Section

Block

Lot

10. This statement is filed without the debtor's signature to perfect a security interest in collateral  
(check appropriate box)

- ☐ under a security agreement signed by debtor authorizing secured party to file this statement, or
- ☐ already subject to a security interest in another jurisdiction when it was brought into this state, or
- ☐ which is proceeds of the original collateral described above in which a security interest was perfected;

Bath &amp; Hammondsport Railroad Co.

Small Business Administration

By Kenneth M. Honeyman PresidentBy John Lyddane Disaster Counsel

Signature(s) of Debtor(s)

Signature(s) of Secured Party(ies)

(1) Filing Officer: Copy-Numerical

9/65 STANDARD FORM NEW YORK STATE FORM UCC-1 - Approved by John P. Lomenzo, Secretary of State of New York

STATE OF NEW YORK  
COUNTY OF CHEMUNG

} SS.:

On this 13th day of November, 1972, before me  
personally appeared

Kenneth M. Honeyman

to me personally known, who being by me duly sworn, says that  
he is the President of the Bath and Hammondsport Railroad  
Company, that the seal affixed to the foregoing instrument is  
the corporate seal of said corporation, that said instrument was  
signed and sealed on behalf of said corporation by authority of  
its Board of Directors, and he acknowledged that the execution  
of the foregoing instrument was the free act and deed of said  
corporation.

Ann R. Teeter

Notary Public

ANN R. TEETER

Notary Public, State of New York

No. 3946050

Chemung County

Commission Expires March 30, 1973